

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

## NOTICE OF ALLOWANCE AND FEE(S) DUE

22249

7590

11/08/2002

LYON & LYON LLP 633 WEST FIFTH STREET SUITE 4700 LOS ANGELES, CA 90071 EXAMINER

DATSKOVSKIY, MICHAEL V

ART UNIT

CLASS-SUBCLASS

2835

361-699000

DATE MAILED: 11/08/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/847,746	05/01/2001	Robert Bartola	257/267	6090

TITLE OF INVENTION: INTEGRATED COOLING OF A PRINTED CIRCUIT BOARD STRUCTURE

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1280	\$300	\$1580	02/10/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

 Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

**Commissioner for Patents** Washington, D.C. 20231

(703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block I) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 22249 7590 LYON & LYON LLP 633 WEST FIFTH STREET Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below. **SUITE 4700** LOS ANGELES, CA 90071 (Depositor's name (Signature FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE 09/847,746 05/01/2001 Robert Bartola 257/267 6090 TITLE OF INVENTION: INTEGRATED COOLING OF A PRINTED CIRCUIT BOARD STRUCTURE APPLN. TYPE **SMALL ENTITY** ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE \$1280 \$300 \$1580 02/10/2003 nonprovisional NO ART UNIT CLASS-SUBCLASS **EXAMINER** DATSKOVSKIY, MICHAEL V 2835 361-699000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. single firm (having as a member a registered attorney or agent) and the names of up to 2 ☐ "Fee Address" indication (or "Fee Address" Indication form registered patent attorneys or agents. If no name PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer is listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): A check in the amount of the fee(s) is enclosed ☐ Issue Fee ☐ Payment by credit card. Form PTO-2038 is attached. □ Publication Fee ☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_(enclose an extra copy of this form). ☐ Advance Order - # of Copies Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date)

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or oth interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/847,746	05/01/2001	Robert Bartola	257/267 6090		
22249	7590 11/08/2002		EXAMIN	EXAMINER	
LYON & LYO			DATSKOVSKIY, MICHAEL V		
633 WEST FIFTH STREET SUITE 4700		Γ	ART UNIT	PAPER NUMBER	
	LOS ANGELES, CA 90071		2835		
		Da	ATE MAILED: 11/08/2002	2	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 24 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 24 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



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22249 7590 11/08/2002		11/08/2002		EXAMINER		
	LYON & LYON LLP			DATSKOVSKIY, MICHAEL V		
633 WEST FIFTH STREET SUITE 4700				ART UNIT PAPER NUMBE		
LOS ANGELE	•			2835		
UNITED STATES				DATE MAILED: 11/08/2002		

## Notice of Possible Fee Increase on October 1, 2002

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2002, then the amount due may be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there may be an increase in fees effective on October 1, 2002. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Notice of Proposed Rulemaking. 67 Fed. Reg. 30634, 30636 (May 7, 2002). Although a change to the amount of the publication fee is not currently proposed for October 2002, if the issue fee or publication fee is to be paid on or after October 1, 2002, applicant should check the USPTO web site for the current fees before submitting the payment. The USPTO Internet address for the fee schedule is: <a href="http://www.uspto.gov/main/howtofees.htm">http://www.uspto.gov/main/howtofees.htm</a>.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of any fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after October 1, 2002 (or mailed with a certificate of mailing on or after October 1, 2002), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)
Nation of Allowskills	09/847,746	BARTOLA ET AL.
Notice of Allowability	Examiner	Art Unit
	Michael Datskovsky	2835
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to 10/21/2002. 2. The allowed claim(s) is/are 12-23.	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS
<ul> <li>3.  The drawings filed on are accepted by the Examinet</li> <li>4.  Acknowledgment is made of a claim for foreign priority und</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> </ul>	er 35 U.S.C. § 119(a)-(d) or (f). been received.	
3. ☐ Copies of the certified copies of the priority doc International Bureau (PCT Rule 17.2(a)).  * Cortified copies not received:	cuments have been received in this r	national stage application from the
* Certified copies not received:  5. Acknowledgment is made of a claim for domestic priority ur  (a) The translation of the foreign language provisional a  6. Acknowledgment is made of a claim for domestic priority ur	oplication has been received.	onal application).
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of t	this communication to file a reply co his application. THIS THREE-MON	mplying with the requirements noted ITH PERIOD IS NOT EXTENDABLE
7. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which gives reason	itted. Note the attached EXAMINER' on(s) why the oath or declaration is o	S AMENDMENT or NOTICE OF deficient.
<ul> <li>8.  ☐ CORRECTED DRAWINGS must be submitted.</li> <li>(a) ☐ including changes required by the Notice of Draftspers</li> <li>1) ☐ hereto or 2) ☐ to Paper No</li> <li>(b) ☐ including changes required by the proposed drawing c</li> <li>(c) ☐ including changes required by the attached Examiner's</li> </ul>	orrection filed, which has be	en approved by the Examiner.
Identifying indicia such as the application number (see 37 CFR 1. of each sheet. The drawings should be filed as a separate paper	84(c)) should be written on the drawin	gs in the top margin (not the back)
9. ☐ DEPOSIT OF and/or INFORMATION about the deposattached Examiner's comment regarding REQUIREMENT FOR TH	sit of BIOLOGICAL MATERIAL m HE DEPOSIT OF BIOLOGICAL MAT	oust be submitted. Note the ERIAL.
Attachment(s)		
<ul> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statements (PTO-1449), Paper No</li></ul>	4☐ Interview Summa 6☐ Examiner's Amen 8☐ Examiner's Stater 9☐ Other . (	Patent Application (PTO-152) ry (PTO-413), Paper No Idment/Comment ment of Reasons for Allowance  DARREN SCHUBERG ERVISORY PATENT EXAMINER ECHNOLOGY CENTER 2800

Application/Control Number: 09/847,746

Art Unit: 2835

## **REASONS FOR ALLOWANCE**

1. The following is an examiner's statement of reasons for allowance: Examiner has not found any Prior Art references describing an assembly comprising a heat-generating device attached to a printed circuit board, and a thermal management system, the thermal management system comprising a heat sink having an interior lumen, and a coolant channel at least partially formed in a layer of the printed circuit board, the coolant channel being in fluid communication with the heat sink lumen. The allowability, at least in part, resides in above described structure having elements that are not disclosed in the prior art searched. The applicant satisfactory amended the claims to overcome rejections under 35 USC §102 and §103 stated in the previous Rejection.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

2. Hamilton et al (US Patent 5,763,951) teach a multi-layer printed circuit board (PCB), figs. 2-10, comprising: a plurality of mounting areas for attaching a plurality of heat producing devices - transistor dies 100 (152), and a coolant circulation channel 118 formed in a layer of the PCB by removal of portions of one or more layers of said PCB (etching-abstract, line 3), the channel having a portion in a vicinity of the mounting area, and a liquid coolant 116 circulating through said channel being forced by a pump 114 (154). Hamilton et al 951 teach furthermore: said channel includes a portion in thermal contact with a heat sink 164. Hamilton et al teach furthermore said heat sink

having a channel 116, Fig.5, said coolant channel at least partially formed in a layer of the printed circuit board being in fluid communication with the heat sink channel 116 through a fill valve 160 and a check valve 168. Hamilton et al do not teach said channel 116 being an interior lumen in the heat sink 164. (By Hamilton et al said channel is formed by surfaces of the heat sink 164 and the circuit board 151.

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Datskovsky whose telephone number is (703) 306-4535. The examiner can normally be reached on Mn - Fry 8 - 4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Darren E. Schuberg can be reached on (703) 308-4815. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9318 for regular communications and (703) 872-9319 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

M.D. October 30, 2002

SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2800